

Docket No.: 176/60183 (6-11407-674)

**PATENT** 

# TED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Patricia M. Rodier et al.

Serial No.

09/850,258

Cnfrm. No.

To Be Assigned

Filed

May 7, 2001

For

GENETIC POLYMORPHISMS WHICH

ARE ASSOCIATED WITH AUTISM

SPECTRUM DISORDERS

Examiner: To Be Assigned

Art Unit: To Be Assigned

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# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

### Dear Sir:

In accordance with 37 C.F.R. §§ 1.197 and 1.98, it is respectfully requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-captioned application.

- Acampora et al., (1989) "The Human HOX Gene Family," Nucleic Acids Res., 1. 17(24):10385-10402.
- Cook et al., (1997) "Evidence of Linkage Between the Serotonin Transporter and Autistic Disorder," Molec. Psychiat., 2:247-250.
- Cook et al., (1998) "Linkage-Disequilibrium Mapping of Autistic Disorder, 3. With 15q11-13 Markers," Am. J. Hum. Genet., 62:1077-1083.
- Gavalas et al., (1998) "Hoxal and Hoxbl Synergize in Patterning the 4. Hindbrain, Crainal Nerves and Second Pharyngeal Arch," Development, 125:1123-1136.
- Goddard et al., (1996) "Mice With Targeted Disruption of Hoxb-1 Fail to 5. Form the Motor Nucleus of the VIIth Nerve," Development, 122:3217-3228.

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- 6. Hong et al., (1995) "Structure and Function of the HOX A1 Human Homeobox Gene cDNA," Gene, 159:209-214.
- 7. Klauck et al., (1997) "Serotonin Transporter (5-HTT) Gene Variants Associated with Autism?," *Hum. Molec. Genet.*, 6(13):2233-2238.
- 8. Murphy et al., (1991) "Expression of the Mouse *Labial*-Like Homeobox-Containing Genes, *Hox2.9* and *Hox 1.6*, During Segmentation of the Hindbrain," *Development*, 111:61-74.
- 9. Smalley et al., (1988) "Autism and Genetics," Arch. Gen. Psychiat., 45:953-961.
- 10. Spence et al., (1985) "Gene Mapping Studies with the Syndrome of Autism," *Behav. Genet.*, 15:1-13.
- 11. Studer et al., (1998) "Genetic interactions Between *Hoxa1* and *Hoxb1* Reveal New Roles in Regulation of Early Hindbrain Patterning," *Development*, 125:1025-1036.
- 12. Thompson et al., (1998) "An Evolutionary Conserved Element in Essential for Somite and Adjacent Mesenchymal Expression of The Hoxal Gene," *Development Dynamics*, 211:97-108.

Pursuant to 37 C.F.R. § 1.98(d), copies of the above-listed publications are not provided, as the references were previously submitted to the Examiner in connection with the parent case, U.S. Serial No. 09/095,117 filed on June 10, 1998. Pursuant to 35 U.S.C. § 120, the filing date of U.S. Serial No. 09/095,117 (June 10, 1998), is relied upon in connection with the present application.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present invention as defined in 37 C.F.R. § 1.56(a).

Inasmuch as this Information Disclosure Statement is being submitted prior to the issuance of an Official Action on the merits, no fee, certification or petition is required by Applicants.

Respectfully submitted,

Date: November 1, 2001

Ann R. Pokalsky

Registration No. 34,697

NIXON PEABODY LLP

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231, on the date below.

11/1/01 Date

Maria Matos

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	U.S.*DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets Processary)			AT12. DOC 176/60183 (	CKET NO. 6-11407-674)			SERIA 09/850	AL NO. 0,258	Sheet 1 of 3		
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AO	Goddard et al., (1996) "Mice With Targeted Disruption of Hoxb-1 Fail to Form the Motor Nucleus of the VIIth Nerve,"  Development, 122:3217-3228.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**EXAMINER** 

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### U.S. PATENT DOCUMENTS

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### FOREIGN PATENT DOCUMENTS

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# OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

ВК	Hong et al., (1995) "Structure and Function of the HOX A1 Human Homeobox Gene cDNA," Gene, 159:209-214.					
BL	Klauck et al., (1997) "Serotonin Transporter (5-HTT) Gene Variants Associated with Autism?," Hum. Molec. Genet.,					
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СК	Studer et al.,	(1998) "Genetic interactions Be	tween <i>Hoxal</i> and <i>Hoxbl</i> Reveal	New Roles	in Regu	lation of Early Hine	dbrain		
	Patterning," L	ng," Development, 125:1025-1036.							
CL	Thompson et	on et al., (1998) "An Evolutionary Conserved Element in Essential for Somite and Adjacent Mesenchymal Expression of							
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